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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY DOCKET NO. P06939US00/LRP

Date: 16 NOVEMBER 2000

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		CONCERNING A FIL	ING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE	09/700494						
		NATIONAL APPLICATION NO. PCT/JP99/02679	PRIORITY DATE CLAIMED 03 JUNE 1998							
			PRODUCING FLUOROALKYLCARBO	OXYLIC ACID						
		CANT(S) FOR DO/EO/US: ICHIHA								
			ated/Elected Office (DO/EO/US) the following							
\boxtimes	1.	1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
	2.	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371.								
	3.	This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1).								
										
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	8.	A translation of the amendments	to the claims under PCT Article 19 (35 U	J.S.C. 371(c)(3)).						
\boxtimes	9.	An oath or declaration of the inv	entor(s) (35 U.S.C. 371(c)(4)).							
\boxtimes			e Int'l Prelim. Exam. Report under PCT	Article 36 (35 U.S.C. 371(c)(5)).						
	Iter	ms 11. to 16. below concern docu	ument(s) or information included:							
	11.	An Information Disclosure Stat	tement under 37 C.F.R. 1.97 and 1.98.							
\boxtimes	12.	An Assignment document for rec	cording. A separate cover sheet in complianc	e with 37 CFR 3.28 and 3.31 is included.						
\boxtimes	13.	A First preliminary amendmen	t.							
		A Second or subsequent prelimin	ary amendment.							
		A substitute specification.								
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At the address (below	NAME: Douglas E. Jackson							
LARSON 1199 NOR	REG. NO	REG. NO.: 28518						
1199 NORTH FAIRFAX ST. SUITE 900 PHONE NO.: 703-739-4900								
ALEXANDRIA, VA 22314 Date: 16 November 2000							1	

529 Rec'd PCT/PTC IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	ICHIHARA, et al.
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New Application

) Atty's Dckt:

Filed: On even date herewith

) Application Branch

For: PROCESS FOR PRODUCING FLUOROALKYLCARBOXYLIC ACID

PRELIMINARY AMENDMENT

Hon. Assistant Commissioner of Patents

Washington, D.C. 20231

SIR:

Preliminary to the examination thereof, please amend the above-identified application as follows:

IN THE CLAIMS:

Claim 4, lines 1 and 2, delete "any one of claims 1-3", and insert therefor --claim 1--.

Claim 6, lines 1 and 2, delete "any one of claims 1-4", and insert therefor --claim 1--.

REMARKS

The above amendments are being made in order to place the application in better condition for examination and to reduce the filing fee.

Favorable consideration is respectfully requested.

Respectfully submitted,

Date: 11/16/00

Registration No. 28518

LARSON & TAYLOR, PLC

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-1-

DESCRIPTION

PROCESS FOR PRODUCING FLUOROALKYLCARBOXYLIC ACID

TECHNICAL FIELD

This invention relates to a process for

producing a fluoroalkylcarboxylic acid that is a compound industrially useful as a starting material for the production of surfactants, pharmaceuticals, agricultural chemicals.

BACKGROUND ART

10 Much research has been carried out on methods for synthesizing carboxylic acid. Such methods are one of the important reaction technologies in synthetic organic chemistry. Known reactions for oxidizing alcohols, aldehydes and the like include an oxygen oxidation 15 reaction using a solid catalyst; an oxidation reaction using a chemical oxidizer such as chromic acid, potassium permanganate, nitric acid or the like; and a liquid phase autoxidation reaction. These conventional methods, however, have problems such as high costs of solid 20 catalysts, difficulty in selective conversion to carboxylic acid in some cases, and high toxicity of many chemical oxidizers.

Methods are known for oxidizing a fluoroalkyl alcohol to the corresponding fluoroalkylcarboxylic acid with a comparatively high yield. Such methods include

methods using a chemical oxidizer, for example, potassium dichromate/sulfuric acid (T. Hudlicky et al., J. Fluorine Chem., (1992), 59(1), 9-14), potassium permanganate (I. Lehms et al., DD 268685), or nitrogen dioxide (R. M.

- 5 Scribner, J. Org. Chem., (1964), vol.29, 279-283 or ibid., (1964), vol.29, 284-286); and methods using an organic or inorganic acid copper salt catalyst/alkali/oxygen (I. P. Skibida et al., WO 93/12059). These methods, however, have the following problems. The method using chromic
- acid or potassium permanganate has waste disposal problems after reaction. According to the method using nitrogen dioxide, the reaction takes 10 hours or more using 2 equivalents of nitrogen dioxide. Moreover, use of an increased amount of nitrogen dioxide and/or a higher
- reaction temperature will increase byproducts. The oxidation method using an organic or inorganic acid copper salt catalyst/alkali/oxygen only achieves a low selectivity to carboxylic acid and also has separation and purification problems such as difficulty in removal of the catalyst and the solvent after reaction.

Methods for oxidizing a fluoroalkyl alcohol to the corresponding fluoroalkylcarboxylic acid using nitric acid are described, for example, in D. R. Bear, Ind. Eng. Chem., (1959), vol.51, 829-830 and in Y. Desirant, Bull.

25 Sci. acad. roy. Belg., (1929), vol.15, 966-982. However,

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Desirant reports that the method has the following problems: the reaction requires using about 2.5 equivalents of nitric acid relative to the alcohol and it takes 2.5 days under reflux to complete the reaction. 5 hydrocarbon oxidation using nitric acid, it is known that oxygen is introduced into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the reaction substrate (John W. Ager, Jr. DE 2116212). Such technique, however, is not known in fluoroalkyl alcohol oxidation using nitric acid.

DISCLOSURE OF INVENTION

A principal object of this invention is to obviate the defects of the conventional production methods and provide a process for producing a

fluoroalkylcarboxylic acid with high selectivity at low 15 costs.

The present inventors carried out extensive research in view of the above prior art problems and found that fluoroalkylcarboxylic acids can be produced with high conversion and high selectivity by oxidizing fluoroalkyl alcohols using nitric acid as an oxidizing agent.

The present invention provides the following processes for preparing fluoroalkylcarboxylic acids.

A process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a $\mathrm{C}_{1\text{--}16}$ fluoroalkyl 25

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group, which comprises oxidizing a fluoroalkyl alcohol of the formula $RfCH_2OH$ wherein Rf is as defined above using nitric acid.

- 2. The process according to item 1 wherein the oxidation is carried out in the presence of a metal catalyst.
- 3. The process according to item 2 wherein the metal catalyst is at least one metal selected from the group consisting of iron, nickel, copper and vanadium, or at least one oxide or salt of these metals.
- 4. The process according to any one of items 1-3 wherein a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is as defined above has been placed and is present in the reaction system at the beginning of the reaction.
 - 5. The process according to any one of items 1-4 wherein oxygen is fed into the reaction system during the reaction.
 - 6. The process according to item 5 wherein oxygen is fed into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above.

The method of the present invention comprises converting a fluoroalkyl alcohol into the corresponding fluoroalkylcarboxylic acid by oxidation using nitric acid.

The fluoroalkyl alcohol used in the present invention is represented by the formula $RfCH_2OH$ wherein Rf

is a C_{1-16} fluoroalkyl group. Specific examples include fluoroalkyl alcohols represented by $H(CF_2)_nCH_2OH$ or $F(CF_2)_nCH_2OH$ wherein n is an integer of 1 to 16. Of the alcohols represented by $H(CF_2)_nCH_2OH$, preferable are those wherein n is an integer of 2, 4, 6, 8, 10, 12, 14 or 16. Of the alcohols represented by $F(CF_2)_nCH_2OH$, preferable are those wherein n is an integer of 1 to 3.

The nitric acid used in the present invention has a concentration of 5% or higher, preferably 30% to 70%.

The molar ratio of nitric acid to the starting fluoroalkyl alcohol is 2 or less, i.e., a stoichiometric amount or less, and is usually in the range of 0.1 to 2, preferably 0.3 to 1.

The molar ratio of nitric acid to the starting

15 alcohol may vary depending on the starting alcohol. As
the fluoroalkyl group of the starting fluoroalkyl alcohol
has a longer chain, a larger molar ratio of nitric acid to
the fluoroalkyl alcohol is preferred.

invention is preferably at least one metal such as iron, nickel, copper, vanadium and the like, or at least one oxide or salt of these metals, of which copper powder, iron chloride (II), iron chloride (III), nickel chloride, copper chloride, ammonium vanadate and vanadium oxide (V) are particularly preferred.

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The weight ratio of the metal catalyst to the starting alcohol is usually at least 0.000001, preferably in the range of 0.00001 to 0.1. The use of an increased amount of the metal catalyst can reduce the reaction pressure and reaction temperature. An excess of the metal catalyst, however, will convert the reaction product fluoroalkylcarboxylic acid to a metal salt, thus adversely affecting the separation and purification operation.

The reaction is usually carried out at temperatures in the range of 80° C to 200° C, preferably 100° C to 150° C.

By the end of the reaction, the reaction pressure may increase to a maximum of 2.5 MPa (gauge pressure). In consideration of the price of the reactor and other factors, it is preferable that the reaction be carried out while controlling the reaction pressure. A preferable method for controlling the reaction pressure comprises supplying oxygen so as to control the reaction pressure to 0.4 to 1.0 MPa (gauge pressure) during the reaction.

Feeding oxygen into the reaction system not only reduces the molar ratio of nitric acid to a fluoroalkyl alcohol but also helps to control the reaction pressure. Furthermore, it is unnecessary to eliminate nitrogen oxides during the reaction. A preferred method for

feeding oxygen into the reaction system comprises supplying oxygen at any time when necessary during the reaction.

Oxygen is continuously fed into the reaction

5 system until the conversion of the starting fluoroalkyl alcohol reaches 100%. The required amount of oxygen is 0.7 to 0.9 mole per mole of the starting fluoroalkyl alcohol.

In pressure control using oxygen, it is

10 preferable that as the fluoroalkyl group of the starting
fluoroalkyl alcohol has a longer chain, the reaction
pressure should be set to a higher value.

When a fluoroalkylcarboxylic acid is placed into the reaction system before reaction so that carboxylic acid is present at the beginning of the reaction, the reaction pressure may be set to a lower value. The amount of the fluoroalkylcarboxylic acid is preferably 0.01 to 2 moles, more preferably 0.1 to 1 mole, per mole of the starting fluoroalkyl alcohol.

The reaction time is usually within the range of about 10 to about 20 hours. As the reaction pressure is set to a higher value, a shorter reaction time will result. When a fluoroalkylcarboxylic acid and/or a metal salt catalyst is present, the reaction time can be reduced to 4 to 8 hours.

Upon completion of the reaction, nitrogen oxides can be removed by a known removal method such as a dry method, a wet method or the like.

When the obtained fluoroalkylcarboxylic acid of
the formula RfCOOH is a fluoroalkylcarboxylic acid wherein
Rf is a fluoroalkyl group having at least 4 carbon atoms,
the reaction mixture separates into two layers of liquids
upon completion of the reaction. The upper layer is a
nitric acid layer. The fluoroalkylcarboxylic acid in a

concentrated form is present in the lower layer.

Fluoroalkylcarboxylic acid, which is the desired compound of the present invention, can be isolated and purified by known methods. Examples of useful procedures are extraction, distillation, recrystallization, column chromatography and the like.

The method according to the present invention obviates the defects of the conventional production methods and produces a fluoroalkylcarboxylic acid with high selectivity at low costs.

20 BEST MODE FOR CARRYING OUT THE INVENTION

The present invention will be described below in more detail with reference to Examples.

Example 1 (a case in which the reaction pressure was controlled using oxygen)

25 $H(CF_2)_6CH_2OH$ (664.00 g, 2.00 moles), 55% nitric

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acid (114.55 g, 1.00 mole) and FeCl, nH2O (0.0066 g) were placed into an autoclave equipped with a 1000-ml glass pressure vessel, a fluoroplastic upper cover, stirring blades, a thermometer protection tube, a fluoroplastic insert tube, a pressure gauge, a safety valve and a supply line from an oxygen bomb. The mixture was stirred with heating, upon which reaction pressure began to increase. 3.1 hours after the start of heating, the reaction temperature rose to 125℃ and the reaction pressure increased to 0.6 MPa (gauge pressure; the same hereinafter). From that time, oxygen was fed into the gas phase at various times in an amount of 0.35 g (11.00 mmoles) per time, whereby the reaction pressure was controlled to 0.6 MPa. 6.5 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF₂)₆CH₂OH conversion: 100.0 g.c.% (gas chromatography %; the same hereinafter); $H(CF_2)_6COOH$ selectivity: 100.0 g.c.%]. In total, 46.72 g (1.46 moles) of oxygen was fed into the gas phase by the end of the reaction. After completion of the reaction, oxygen was continuously supplied so as to convert residual nitrogen oxides into nitric acid. Then the residual pressure was released. Because of the reaction mixture

being provided in the form of two layers of liquids,

765.78 g of a crude carboxylic acid [H(CF₂)₆COOH] was obtained in a concentrated form from the lower layer by means of liquid-liquid separation at the cease of stirring. The crude carboxylic acid was purified by distillation under reduced pressure, giving 499.75 g of a carboxylic acid [H(CF₂)₆COOH] in high purity (99 g.c.% or higher) with an isolation yield of 65.26 mole %. Example 2 (a case in which a fluoroalkyl group having a long chain was used)

10 H(CF₂)₈CH₂OH (500.00 g, 1.16 moles), 55% nitric acid (132.87 g, 1.16 moles) and FeCl2 • nH2O (0.0050 g) were placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 1. 3.8 hours after the start of heating, the reaction 15 temperature rose to 125°C and the reaction pressure increased to 0.8 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.8 MPa. 7.0 hours after the start of heating, complete consumption of 20 the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF₂)₈CH₂OH conversion: 100.0 g.c.%; H(CF₂)₈COOH selectivity: 99.6 g.c.%]. The same procedure as in Example 1 was followed and 651.93 g of a crude carboxylic 25

acid [H(CF₂)₈COOH] was obtained in a concentrated form

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from the lower layer by means of liquid-liquid separation. The crude carboxylic acid was purified by distillation under reduced pressure, giving 475.13 g of a carboxylic acid $[H(CF_2)_8COOH]$ in high purity (96 g.c.% or higher) with an isolation yield of 72.88 mole %.

Example 3 (a case in which a fluoroalkylcarboxylic acid was added before reaction)

 $H(CF_2)_8CH_2OH$ (518.40 g, 1.20 moles), $H(CF_2)_8COOH$ (269.60 g, 0.60 mole), 55% nitric acid (137.45 g, 1.20 moles) and FeCl₂ 'nH₂O (0.0079 g) were placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 1. 2.6 hours after the start of heating, the reaction temperature rose to 125°C and the reaction pressure increased to 0.6 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.6 MPa. 5.4 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas chromatography to confirm the completion of the reaction [H(CF₂)₈CH₂OH conversion: 100.0 g.c.%; H(CF₂)₈COOH selectivity: 99.6 g.c.%]. procedure as in Example 1 was followed and 835.36 g of a crude carboxylic acid [H(CF2)8COOH] was obtained in a concentrated form from the lower layer by means of liquidliquid separation. The crude carboxylic acid was purified

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by distillation under reduced pressure, providing 586.04 g of a carboxylic acid [H(CF₂)₈COOH] in high purity (96 g.c.% or higher) with an isolation yield of 72.19 mole %. By subtracting the amount of H(CF₂)₈COOH originally fed, the amount of H(CF₂)₈COOH produced in Example 3 was found 392.85 g.

Example 4 (a case of not separating into a nitric acid layer and another liquid layer)

 CF_3CH_2OH (200.00 g, 2.00 moles), 55% nitric acid (114.55 g, 1.00 mole) and $FeCl_2 \cdot nH_2O$ (0.0020 g) were 10 placed into the autoclave used in Example 1. The mixture was heated with stirring in the same manner as in Example 3.0 hours after the start of heating, the reaction temperature rose to 125℃ and the reaction pressure increased to 0.75 MPa. From that time, oxygen was fed into the gas phase in the same manner as in Example 1, whereby the reaction pressure was controlled at 0.75 MPa. 6.0 hours after the start of heating, complete consumption of the starting fluoroalkyl alcohol was confirmed by gas 20 chromatography to confirm the completion of the reaction [CF₃CH₂OH conversion: 100.0 g.c.%; CF₃COOH selectivity: 98.5 g.c.% or higher]. The same procedure as in Example 1 was followed, thus giving an aqueous nitric acid solution of CF_3COOH (361.18 g, a material balance of 99.43 mass %).

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CLAIMS

- 1. (Amended) A process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a C_{1-16} fluoroalkyl group, which comprises oxidizing a fluoroalkyl alcohol of the formula RfCH $_2$ OH wherein Rf is as defined above using nitric acid and feeding oxygen into the reaction system during the oxidation reaction.
- The process according to claim 1 wherein the oxidation is carried out in the presence of a metal
 catalyst.
 - 3. The process according to claim 2 wherein the metal catalyst is at least one metal selected from the group consisting of iron, nickel, copper and vanadium, or at least one oxide or salt of these metals.
 - 4. The process according to any one of claims
 1-3 wherein a fluoroalkylcarboxylic acid of the formula
 RfCOOH wherein Rf is as defined above is present in the
 reaction system at the beginning of the reaction.
 - 5. (Cancelled)
- of claims 1-4 wherein oxygen is fed into the reaction system to reduce the required amount of nitric acid to a stoichiometric amount or less relative to the fluoroalkyl alcohol of the formula RfCH₂OH wherein Rf is as defined above.

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ABSTRACT

The present invention provides a process for producing a fluoroalkylcarboxylic acid of the formula RfCOOH wherein Rf is a C_{1-16} fluoroalkyl group, which comprises oxidizing a fluoroalkyl alcohol of the formula RfCH $_2$ OH wherein Rf is as defined above using nitric acid.

The process according to the present invention produces a fluoroalkylcarboxylic acid with high selectivity at low costs.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent

In re patent application of: ICHIHARA et al.

Serial No.: New Application Examiner:

Filed: On even date herewith Art Unit:

For: PROCESS FOR PRODUCING Atty. Dckt No.: P06939US00

FLUOROALKYLCARBOXYLIC ACID

CHANGE OF CORRESPONDENCE ADDRESS CUSTOMER NUMBER DESIGNATION

Honorable Assistant Commissioner for Patents Washington, D.C. S I R:

Henceforth, please **change the correspondence address** of the above identified application to the correspondence address associated with the CUSTOMER NUMBER identified below, or to the (same) correspondence address shown below if the Customer Number designation cannot be used.

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00881

In addition, please also **appoint the practitioners** (of LARSON & TAYLOR, PLC) associated with this Customer Number to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If there is any problem in changing the correspondence, please contact the undersigned immediately by telephone at 703-739-4900.

Respectfully submitted,

Date: 11/16/00

By: Douglas E Jackson
Registration No.: 28518

LARSON & TAYLOR, PLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314

DECLARATION FOR USA PATE	NT APPLICATION	
•	age PCT) Attorney's Docket ID:	
As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below adjacent to my name.	I believe I am the original, first and sole inventor (if only one na	ame
is listed below) or an original, first and joint inventor (if plural names are listed below) of the invention entitled PROCESS FOR PRODUCING FLUOROALKYI		ght
on the invention entitled PROCESS FOR PRODUCING FLUOROALKYI	CARCATUIC ACID	_
	, the specification of which	
is attached hereto. (or)	Tanuary 21 2000	
X was filed on May 20, 1999 [X] and was amended	on Bandary 21, 2000	<u>-</u> -'
[] as U.S. Application No	(or)	
I hereby state that I have reviewed and understand the contents of the above-identified specific	cation, including the claims, as amended by any amendment refer	red
to above. I acknowledge the duty to disclose information which is material to patentability		
I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) certificate, or §365 (a) of any PCT International application which designated at least one cour also identified below, where priority is not claimed, any foreign application for patent or inventigate before that of the application on which priority is claimed:	ntry other than the United States of America. listed below and he	ave
Prior Foreign Application(s) (ADDITIONAL APPLICATIONS IDENTIFIED ON	ATTACHED SHEET):	
Number Country	Day/Month/Year Filed Priority Not Claim	ıed
1998-154507 Japan	03/06/1998	
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States applicate U.S., listed below; and insofar as the subject matter of each of the claims of this applicat application in the manner provided by the first paragraph of Title 35, United States Code, § 1 to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became avail or PCT international filing date of this applicationADDITIONAL APPLICATIONS	tion is not disclosed in the prior United States or PCT Internation	nai rial
Application Serial No. Day/Month/Year Filed	Status - patented, pending, abandoned	đ
all business in the Patent and Trademark Office connected therewith, and direct that all corn CUSTOMER NUMBER: 00 Direct all telephone calls to I hereby declare that all statements made herein of my own knowledge are true and that all and further that these statements were made with the knowledge that willful false statements arunder § 1001 of Title 18 of the United States Code and that such willful false statements may je	at TEL (703) 920-7200 (Fax: 703-892-8428) statements made on information and belief are believed to be trad the like so made are nunishable by fine or imprisonment or bo	oth.
Full Name of Sole TOUTHADA KAZUNOCH;	Citizenship	7
or First Inventor ICHIHANA RAZUYOSHI	, Japan	- P
Residence - City State/Country Comp on the above		
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DATE HERE: Inventor's Signature: Kazuyoshi Ishihaka	Date: October 19, 2000	
Full Name of Second Joint Inventor, if any AOYAMA Hirokazu	Citizenship Japan	
rull Post Office Address c/o Ycobogawa Seisakusho, DAIKIN INCUSTRIES, 13 566-0044, Japan	ID., 1-1 Nishihitotsuya, <u>Settsu-shi</u> , Osaka <i>-</i>	T PX
if different from P.O. address) Same as the above		_
SIGN AND DATE HERE: Inventor's Signature: 1 Lyokau Aguma	Date: October 19, 2000	
full Name of Third	Citizenship	
oint Inventor, if any ull Post Office Address		7
Residence - City, State/Country		\dashv
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DATE HERE: Inventor's Signature:	Date:	